

YOR9-2000-0452

**RECORDATION FORM COVER SHEET  
PATENTS ONLY**

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Washington, D.C. 20231

JC914 U.S. Pro  
09/698994 10/27/00

Please record the attached original documents or copies thereof.

1. Name of conveying party(ies):  
**ANNI ROSA CODEN AND ERIC WILLIAM BROWN**

2. Name and address of receiving party(ies):  
**INTERNATIONAL BUSINESS MACHINES CORPORATION**  
**OLD ORCHARD ROAD**  
**ARMONK, NY 10504**

3. Nature of conveyance:  
XXXXX Assignment \_\_\_\_\_ Merger  
\_\_\_\_ Security Agreement \_\_\_\_\_ Change of Name  
\_\_\_\_ Other: \_\_\_\_\_

4. Application number(s) or application date(s):  
If this document is being filed together with a new application, the execution date of  
the application is: October 27, 2000.  
A. Application No.      B. Application Date

5. Name and address of party to whom correspondence concerning this document should be mailed:

Harry F. Smith, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 9th Floor  
Stamford, Connecticut 06901-2682 U.S.A.

6. Total No. of applications and patents involved: 1: 11/01/2000 JBALINAN 00000018 09698894  
04 FC:581 4.00 CH 36.00 OP

7. Total Fee (37 C.F.R. 3.41(h)).\$40.00/assignment.  
XXXXX Enclosed  
\_\_\_\_\_  
Authorized to be charged to deposit account.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 3.41 that may be required with this communication or credit any overpayment, to Deposit Account No. 01-0467. A duplicate copy of this Form is enclosed.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Harry F. Smith  
Name of Person Signing

the foregoing information is true and correct.  
Original document.

Harry Smith  
Signature

10/27/00  
Date

Total number of pages including cover sheet, attachments and document: 3.

ASSIGNMENT

FOR valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I, Anni Rosa Coden, a citizen of the United States, and a resident of Bronx, New York, USA and I, Eric William Brown, a citizen of the United States and a resident of New Fairfield, Connecticut, USA, have invented certain new and useful improvements in

**SYSTEM, METHOD AND APPARATUS PROVIDING COLLATERAL INFORMATION  
FOR A VIDEO/AUDIO STREAM**

hereby assigns to INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation having its principal office at OLD ORCHARD ROAD, ARMONK, NEW YORK, 10504 (hereinafter referred to as "Assignee"), of the entire right, title and interest in and to such invention, together with the patent rights and rights of protection to the same throughout the world, including the rights to claim priority, and including any patent rights which may result from the application for United States Letters Patent which was filed in the United States Patent and Trademark Office on October 25, 2000, and assigned U.S. Application Serial No. \_\_\_\_\_, and any continuation, divisions, continuation-in-part applications, inventor's certificate and extensions thereof, preparatory to obtaining Letters Patent of the United States therefor; said invention, application and Letters Patent to be held and enjoyed by said Assignee for their own use and behoof and for the use and behoof of their successors and assigns, to the full end of the term for which said Letters Patent or other rights of protection may be granted as entirely as the same would have been held and enjoyed by us had the assignment not been made.

AND WE COVENANT and AGREE and WARRANT that we have a full and unencumbered title to the invention hereby assigned, and we further covenant and agree that we have the right to grant such rights to said invention and application and

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Letters Patent and that we will, at any time upon request without cost to us or further compensation, execute and deliver any and all papers or instruments that, in the opinion of the Assignee, may be necessary or desirable to secure said Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed by this instrument.

IN WITNESS WHEREFORE, we have hereunto set our hands:

Date: 10/27, 2000 Anni Rosa Coden  
By: Anni Rosa Coden

Date: 10/27, 2000 Eric William Brown  
By: Eric William Brown

**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

Docket No. YOR9-2000-0452

As below named inventors, we hereby declare that:

Our residences, post office addresses and citizenships are as stated below next to our respective names.

We believe we are the original, and first joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# SYSTEM, METHOD AND APPARATUS PROVIDING COLLATERAL INFORMATION FOR A VIDEO/AUDIO STREAM

the specification of which

(check one)  **X** is attached hereto.

\_\_\_\_ was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_ (if applicable).

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

We acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to us to be material to the patentability of this application as defined in Title 37, Code of Federal Regulations, §1.56.

We hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate(s) listed below and have also identified below any foreign application(s) for patent or inventor's certificate(s) having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s)**

**Priority Claimed**

No \_\_\_\_\_ (Number) \_\_\_\_\_ (Country) \_\_\_\_\_ (Day/Mon/Year Filed) \_\_\_\_\_ Yes \_\_\_\_\_

No \_\_\_\_\_ (Number) \_\_\_\_\_ (Country) \_\_\_\_\_ (Day/Mon/Year Filed) \_\_\_\_\_ Yes \_\_\_\_\_

We hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, we acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which

occurred between the filing date of the prior application and the national or PCT international filing date of this application:

<b>60/218,966</b> (Application Serial No.)	<b>July 17, 2000</b> (Filing Date)	<b>Pending</b> (Status - patent, pend., abandon.)
<b>60/193,910</b> (Application Serial No.)	<b>March 31, 2000</b> (Filing Date)	<b>Pending</b> (Status - patent, pend., abandon.)

**POWER OF ATTORNEY:** As named inventors, we hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith.

NAMES	REGISTRATION NUMBERS
Manny W. Schecter	31,722
Lauren C. Bruzzone	35,082
Christopher A. Hughes	26,914
Edward A. Pennington	32,588
John E. Hael	26,279
Joseph C. Redmond, Jr.	18,753
Douglas W. Cameron	31,596
Wayne L. Ellenbogen	43,602
Louis P. Herzberg	41,500
Stephen C. Kaufman	29,551
Daniel P. Morris	32,053
Paul J. Otterstedt	37,411
Louis J. Percello	33,206
David M. Shofi	39,835
Robert M. Trepp	25,933
Marian Underweiser	46,134
Richard M. Ludwin	33,010
Marc A. Ehrlich	39,966

<b>SEND CORRESPONDENCE TO:</b>  Harry F. Smith, Esq. Ohlandt, Greeley, Ruggiero & Perle, L.L.P. One Landmark Square, 9th Floor Stamford, Connecticut 06901-2682	<b>DIRECT TELEPHONE CALLS TO:</b>  Harry F. Smith, Esq. Telephone: (203) 327-4500 Telefax: (203) 327-6401
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We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF INVENTOR	LAST NAME CODEN	FIRST NAME ANNI	MIDDLE NAME ROSA
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Inventor's signature Anni Rosa Coden Date 10/27/ 2000  
 ANNI ROSA CODEN

FULL NAME OF INVENTOR	LAST NAME BROWN	FIRST NAME ERIC	MIDDLE NAME WILLIAM
RESIDENCE & CITIZENSHIP	CITY NEW FAIRFIELD	STATE OR COUNTRY CONNECTICUT	CITIZENSHIP USA
POST OFFICE ADDRESS	P.O. ADDRESS 13 INDIAN HILL ROAD	CITY & STATE NEW FAIRFIELD, CONNECTICUT	ZIP CODE 06812

Inventor's signature Eric William Brown Date 10/27 2000  
 ERIC WILLIAM BROWN